Encapsulation Methods for Transport of Ethernet Frames Over IP/MPLS Networks

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Abstract

An Ethernet Pseudowire (PW) is used to carry Ethernet/802.3 Protocol Data Units over an IP or MPLS network. This enables service providers to offer "emulated" ethernet services over existing IP or MPLS networks. This document specifies the encapsulation of Ethernet/802.3 PDUs within a pseudowire. It also specifies the procedures for using
a PW to provide a "point-to-point ethernet" service.

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1. Specification of Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119
2. Introduction

An Ethernet Pseudowire (PW) allows Ethernet/802.3 Protocol Data Units (PDUs) to be carried over an IP network or an MPLS network. In addressing the issues associated with carrying an Ethernet PDU over a PSN, this document assumes that a Pseudowire (PW) has been set up by some means outside the scope of this document. This may be via manual configuration, or a signaling protocol such as that defined in [PWE3-CTRL] or [L2TPv3]. As described in [PWE3-ARCH], this PW may be tunneled through an MPLS, IPv4 or IPv6 PSN.

In addition to the Ethernet PDU format used within the pseudowire, this document discusses:

- Procedures for using a PW in order to provide a pair of CEs with an emulated (point-to-point) ethernet service, including the procedures for the processing of PE-bound and CE-bound ethernet PDUs. [PWE3-ARCH]

- Ethernet-specific QoS and security considerations

- Inter-domain transport considerations for Ethernet PW

The following two figures describe the reference models which are derived from [PWE3-ARCH] to support the Ethernet PW emulated services.

```
|<------------ Emulated Service --------------->|
| |<------ Pseudo Wire ------>
| |< PSN Tunnel -->| |
| PW End V V V V PW End |
| | Service V |
| +-----+ | PE1=------------------= PE2 | +-----+ |
| CE1 | | PW1........| | CE2 |
| | PW2........| |
| +-----+ | ^ | ==----------------== | | ^ +-----+
| | Provider Edge 1 | Provider Edge 2 |
| Customer Edge 1 | Customer Edge 2 |
| Attachment Circuit (AC) | Attachment Circuit (AC) |
| native ethernet service | native ethernet service |
```
The "emulated service" shown in Figure 1 is, strictly speaking, a bridged LAN; the PEs have MAC interfaces, consume MAC control frames, etc. However, the procedures specified herein only support the case in which there are two CEs on the "emulated LAN". Hence we refer to this service as "emulated point-to-point ethernet". Specification of the procedures for using pseudowires to emulate LANs with more than two CEs are out of scope of the current document.

For the purpose of this document, PE1 will be defined as the ingress router, and PE2 as the egress router. A layer 2 PDU will be received at PE1, encapsulated at PE1, transported, decapsulated at PE2, and transmitted out of PE2.

An Ethernet PW emulates a single Ethernet link between exactly two endpoints. The mechanisms described in this document are agnostic to that which is beneath the "Pseudo Wire" level in Figure 2, concerning itself only with the "Emulated Service" portion of the stack.

The following reference model describes the termination point of each end of the PW within the PE:
The PW terminates at a logical port within the PE, defined at point A in the above diagram. This port provides an Ethernet MAC service that will deliver each Ethernet frame that is received at point A, unaltered, to the point A in the corresponding PE at the other end of the PW.

The Native Service Processing (NSP) function includes frame processing that is required for the Ethernet frames that are forwarded to the PW termination point. Such functions may include stripping, overwriting or adding VLAN tags, physical port multiplexing and demultiplexing, PW-PW bridging, L2 encapsulation, shaping, policing, etc.

The points to the left of A, including the physical layer between the CE and PE, and any adaptation (NSP) functions between it and the PW terminations, are outside of the scope of PWE3 and are not defined here.

"PW Termination", between A and B, represents the operations for setting up and maintaining the PW, and for encapsulating and decapsulating the Ethernet frames according to the PSN type in use.

An ethernet PW can operate in one of two modes: "raw mode" or "tagged mode". In tagged mode, each frame MUST contain an 802.1Q VLAN tag, and the tag value is meaningful to the NSPs at the two PW endpoints. That is, the two endpoints must have some agreement (signaled or manually configured) on how to process the tag. On a raw mode PW, a
frame MAY contain an 802.1Q VLAN tag, but if it does, the tag is not meaningful to the NSPs, and passes transparently through them.

3. Frame Processing at the PW Endpoints

3.1. Generic Procedures

When the NSP/Forwarder hands a frame to the PW endpoint:

- The preamble (if any) and FCS are stripped off.
- The control word as defined in the "The Control Word" section is, if necessary, prepended to the resulting frame. The conditions under which the control word is or is not used are specified below.
- The proper Pseudowire demultiplexor is prepended to the resulting packet.
- The proper tunnel encapsulation is prepended to the resulting packet.
- The packet is transmitted.

The way in which the proper tunnel encapsulation and pseudowire demultiplexor are chosen depends on the procedures that were used to set up the pseudowire.

When a packet arrives over a PW, the tunnel encapsulation and PW demultiplexor are stripped off. If the control word is present, any processing required by the control word is performed, and the control word is stripped off. The resulting is then handed to the Forwarder/NSP. Regeneration of the FCS is considered to be an NSP responsibility.

3.1.1. Raw Mode vs. Tagged Mode

When the PE receives an ethernet frame, and the frame has a VLAN tag, we can distinguish two cases:

1. The tag is "service-delimiting". This means that the tag was placed on the frame by some piece of service provider-operated equipment, and the tag is used by the service provider to distinguish the traffic. For example, LANs from different customers might be attached to the same service provider switch, which applies VLAN tags to distinguish one customer’s
traffic from another’s, and then forwards the frames to the PE.

2. The tag is not service-delimiting. This means that the tag was placed in the frame by a piece of customer equipment, and is not meaningful to the PE.

If an ethernet PW is operating in raw mode, service-delimiting tags are NEVER sent over the PW. If a service-delimiting tag is present when the frame is received from attachment circuit by the PE, it MUST be stripped (by the NSP) from the frame before the frame is sent to the PW.

If an ethernet PW is operating in tagged mode, every frame sent on the PW MUST have a service-delimiting VLAN tag. If the frame as received by the PE from the attachment circuit does not have a service-delimiting VLAN tag, the PE must prepend the frame with a dummy VLAN tag before sending the frame on the PW. This is the default operating mode. This is the only REQUIRED mode.

In both modes, non-service-delimiting tags are passed transparently across the PW as part of the payload.

In both modes, the service-delimiting tag values have only local significance, i.e., are meaningful only at a particular PE-CE interface. When tagged mode is used, the PE that receives a frame from the PW may rewrite the tag value, or may strip the tag entirely, or may leave the tag unchanged, depending on its configuration. When raw mode is used, the PE that receives a frame may or may not need to add a service-delimiting tag before transmitting the frame on the attachment circuit; however it MUST not rewrite or remove any tags which are already present.

3.1.2. MTU Management on the PE/CE Links

The Ethernet PW MUST NOT be enabled unless it is known that the MTUs of the CE-PE links are the same at both ends of the PW.

3.1.3. Frame Ordering

In general, applications running over Ethernet do not require strict frame ordering. However the IEEE definition of 802.3 [802.3] requires that frames from the same conversation are delivered in sequence. Moreover, the PSN cannot (in the general case) be assumed to provide or to guarantee frame ordering. An ethernet PW can, through use of the control word, provide strict frame ordering. If this option is enabled, any frames which get misordered by the PSN will be dropped
by the receiving PW endpoint. If strict frame ordering is a requirement for a particular PW, this option MUST be enabled.

3.1.4. Frame Error Processing

An encapsulated Ethernet frame traversing a pseudo-wire may be dropped, corrupted or delivered out-of-order. As described in [PWE3-REQ], frame-loss, corruption, and out-of-order delivery is considered to be a "generalized bit error" of the pseudo-wire. PW frames that are corrupted will be detected at the PSN layer and dropped.

At the ingress of the PW the native Ethernet frame error processing mechanisms MUST be enabled. Therefore, if a PE device receives an Ethernet frame containing hardware level CRC errors, framing errors, or a runt condition, the frame MUST be discarded on input. Note that defining this processing is part of the NSP function and is outside the scope of this draft.

3.1.5. IEEE 802.3x Flow Control Interworking

In a standard gigabit Ethernet network, the flow control mechanism is optional and typically configured between the two nodes on a point-to-point link (e.g. between the CE and the PE). IEEE 802.3x PAUSE frames MUST NOT be carried across the PW. See Appendix A for notes on CE-PE flow control.

3.2. PW Setup and Maintenance

This document assumes that a mechanism exists to set up the ethernet PW. Maintenance of the PW (e.g. keepalives, status updates, etc) is generally tied closely to the PW Setup mechanisms. [PWE3-CTRL] and [L2TPv3] define two mechanisms for setup and maintenance of Ethernet PWs.

3.3. Management

The Ethernet PW management model follows the general management defined in [PWE3-ARCH] and [PWE3-MIB]. Many common PW management facilities are provided here, with no additional Ethernet specifics necessary. Ethernet-specific parameters are defined in an additional MIB module, [PW-MIB].

As specified in [PWE3-ARCH], an implementation SHOULD support the generic and specific PW MIB modules for PW set-up and monitoring.
Other mechanisms for PW set up (command line interface for example) MAY be supported.

3.4. The Control Word

When carrying Ethernet over an IP or MPLS backbone, sequentiality may need to be preserved. The OPTIONAL control word defined here addresses this requirement. Implementations MUST support sending no control word, and MAY support sending a control word.

In all cases the egress router must be aware of whether the ingress router will send a control word over a specific virtual circuit. This may be achieved by configuration of the routers, or by signaling, for example as defined in [PWE3-CRTL].

The control word is defined as follows:

```
0                   1                   2                   3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
|0 0 0 0|   Reserved            |       Sequence Number         |
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

In the above diagram the first 4 bits MUST be set to 0 to indicate PW data. The rest of the first 16 bits are reserved for future use. They MUST be set to 0 when transmitting, and MUST be ignored upon receipt.

The next 16 bits provide a sequence number that can be used to guarantee ordered frame delivery. The processing of the sequence number field is OPTIONAL.

The sequence number space is a 16 bit, unsigned circular space. The sequence number value 0 is used to indicate that the sequence number check algorithm is not used.

In general, applications running over Ethernet do not require strict frame ordering. However the IEEE definition of 802.3 [802.3] requires that frames from the same conversation are delivered in sequence. Moreover, the PSN cannot (in the general case) be assumed to provide or to guarantee frame ordering. Therefore if strict frame ordering is required, the sequence number MUST be utilized and its sequence number processing enabled.
3.4.1. Setting the sequence number

For a given PW, and a pair of routers PE1 and PE2, if PE1 supports frame sequencing then the following procedures should be used:

- the initial frame transmitted on the PW MUST use sequence number 1
- subsequent frames MUST increment the sequence number by one for each frame
- when the transmit sequence number reaches the maximum 16 bit value (65535) the sequence number MUST wrap to 1

If the transmitting router PE1 does not support sequence number processing, then the sequence number field in the control word MUST be set to 0.

3.4.2. Processing the sequence number

If a router PE2 supports receive sequence number processing, then the following procedures should be used:

When a PW is initially set up, the "expected sequence number" associated with it MUST be initialized to 1.

When a frame is received on that PW, the sequence number should be processed as follows:

- if the sequence number on the frame is 0, then the sequence number check is skipped. (sequence check disabled)
- otherwise if the frame sequence number >= the expected sequence number and the frame sequence number - the expected sequence number < 32768, then the frame is in order.
- otherwise if the frame sequence number < the expected sequence number and the expected sequence number - the frame sequence number >= 32768, then the frame is in order.
- otherwise the frame is out of order.

If a frame passes the sequence number check, or is in order then, it can be delivered immediately. If the frame is in order, then the expected sequence number should be set using the algorithm:

\[
\text{expected_sequence_number} := \text{frame_sequence_number} + 1 \mod 2^{16}
\]

if (expected_sequence_number = 0) then expected_sequence_number := 1;
Packets which are received out of order MAY be dropped or reordered at the discretion of the receiver.

If a router PE2 does not support receive sequence number processing, then PE2 SHOULD send a PW status message indicating a receive fault, and disable the PW.

3.5. QoS Considerations

The ingress PE MAY consider the user priority (PRI) field [802.1Q] of the VLAN tag header when determining the value to be placed in a QoS field of the encapsulating protocol (e.g., the EXP fields of the MPLS label stack or the DSCP of an IP packet). In a similar way, the egress PE MAY consider the QoS field of the PSN’s encapsulating protocol when queuing the frame for CE-bound.

A PE MUST support the ability to carry the Ethernet PW as a best effort service over the PSN. PRI bits are kept transparent between PE devices, regardless of the QoS support of the PSN.

If an 802.1Q VLAN field is added at the PE, a default PRI setting of zero MUST be supported, a configured default value is recommended, or the value may be mapped from the QoS field of the PSN, as referred to above.

A PE may support additional QoS support by means of one or more of the following methods:

- i. One COS per PW End Service (PWES), mapped to a single COS PW at the PSN.
- ii. Multiple COS per PWES mapped to a single PW with multiple COS at the PSN.
- iii. Multiple COS per PWES mapped to multiple PWs at the PSN.

Examples of the cases above and details of the service mapping considerations are described in Appendix B.

The PW guaranteed rate at the PSN level is PW provider policy based on agreement with the customer, and may be different from the Ethernet physical port rate.
4. Security Considerations

The ethernet pseudowire type is subject to all of the general security considerations discussed in [PWE3-ARCH][PWE3-CRTL].

Security achieved by access control of MAC addresses is out of scope of this document. Additional security requirements related to the use of PW in a switching (virtual bridging) environment are not discussed here as they are not within the scope of this draft.

5. PSN MTU Requirements

The PSN MUST be configured with an MTU that is large enough to transport a maximum sized ethernet frame which has been encapsulated with a control word, a pseudowire demultiplexor, and a tunnel encapsulation. If MPLS is used as the tunneling protocol, for example, this is likely to be 8 or more bytes greater than the largest frame size. Other tunneling protocols may have longer headers and require larger MTUs. If the ingress router determines that an encapsulated layer 2 PDU exceeds the MTU of the tunnel through which it must be sent, the PDU MUST be dropped. If an egress router receives an encapsulated layer 2 PDU whose payload length (i.e., the length of the PDU itself without any of the encapsulation headers), exceeds the MTU of the destination layer 2 interface, the PDU MUST be dropped.

6. IANA Considerations

This document has no IANA Actions.

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9. Normative References

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Appendix A Interoperability Guidelines

Configuration Options

The following is a list of the configuration options for a point-to-point Ethernet PW based on the reference points of Figure 3:
<table>
<thead>
<tr>
<th>Service and Encap on A</th>
<th>Encap on C</th>
<th>Operation at B</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) Raw</td>
<td>Raw - Same as A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) Tag1</td>
<td>Tag2</td>
<td>Optional change of VLAN value</td>
<td>VLAN can be 0-4095 Change allowed in both directions</td>
</tr>
<tr>
<td>3) No Tag</td>
<td>Tag</td>
<td>Add/remove Tag field</td>
<td>Tag can be 0-4095 (note i)</td>
</tr>
<tr>
<td>4) Tag</td>
<td>No Tag</td>
<td>Remove/add Tag field</td>
<td>(note ii)</td>
</tr>
</tbody>
</table>

Figure 4: Configuration Options

Allowed combinations:

Raw and other services are not allowed on the same NSP virtual port (A). All other combinations are allowed, except that conflicting VLANs on (A) are not allowed. Note that in most point-to-point PW application the NSP virtual port is the same entity as the physical port.

Notes:

- i. Mode #3 MAY be limited to adding VLAN NULL only, since change of VLAN or association to specific VLAN can be done at the PW CE-bound side.

- ii. Mode #4 exists in layer 2 switches, but is not recommended when operating with PW since it may not preserve the user’s PRI bits. If there is a need to remove the VLAN tag (for TLS at the other end of the PW) it is recommended to use mode #2 with tag2=0 (NULL VLAN) on the PW and use mode #3 at the other end of the PW.
IEEE 802.3x Flow Control Considerations

If the receiving node becomes congested, it can send a special frame, called the PAUSE frame, to the source node at the opposite end of the connection. The implementation MUST provide a mechanism for terminating PAUSE frames locally (i.e. at the local PE). It MUST operate as follows:

PAUSE frames received on a local Ethernet port SHOULD cause the PE device to buffer, or to discard, further Ethernet frames for that port until the PAUSE condition is cleared. Optionally, the PE MAY simply discard PAUSE frames.

If the PE device wishes to pause data received on a local Ethernet port (perhaps because its own buffers are filling up or because it has received notification of congestion within the PSN) then it MAY issue a PAUSE frame on the local Ethernet port, but MUST clear this condition when willing to receive more data.

Appendix B QoS Details

Section 3.7 describes various modes for supporting PW QOS over the PSN. Examples of the above for a point to point VLAN service are:

- The classification to the PW is based on VLAN field only, regardless of the user PRI bits. The PW is assigned a specific COS (marking, scheduling, etc.) at the tunnel level.

- The classification to the PW is based on VLAN field, but the PRI bits of the user is mapped to different COS marking (and network behavior) at the PW level. Examples are DiffServ coding in case of IP PSN, and E-LSP in MPLS PSN.

- The classification to the PW is based on VLAN field and the PRI bits, and frames with different PRI bits are mapped to different PWs. An example is to map a PWES to different L-LSPs in MPLS PSN in order to support multiple COS over an L-LSP capable network, or to multiple L2TPv3 sessions [L2TPv3].

The specific value to be assigned at the PSN for various COS is out of scope for this document.
Adaptation of 802.1Q COS to PSN COS

It is not required that the PSN will have the same COS definition of COS as defined in [802.1Q], and the mapping of 802.1Q COS to PSN COS is application specific and depends on the agreement between the customer and the PW provider. However, the following principles adopted from 802.1Q table 8-2 MUST be met when applying set of PSN COS based on user’s PRI bits.

- User Priority
- 0 Best Effort (Default)
- 1 Background
- 2 Spare
- 3 Excellent Effort
- 4 Controlled Load
- 5 Interactive Multimedia
- 6 Interactive Voice
- 7 Network Control

Figure 5: IEEE 802.1Q COS Service Mapping
Drop precedence

The 802.1P standard does not support drop precedence, therefore from the PW PE-bound point of view there is no mapping required. It is however possible to mark different drop precedence for different PW frames based on the operator policy and required network behavior. This functionality is not discussed further here.

PSN QOS support and signaling of QOS is out of scope of this document.